

10/049532

JC13 Rec'd PCT/PTO 12 FEB 2002

PATENT

(Practitioner's Docket No. IN-5551)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Manuela ARMBRUST , Wolfgang
BREMSER, Horst HINTZE-BRÜNING,
Wilfried STÜBBE, Peter BETZ and
Christel EHLIG

Serial No.: This application is a National
Phase of Patent Application
PCT/EP00/08031 filed 17 August 2000.

Filed: Tuesday, February 12, 2002

For: SOL-GEL COATING
Commissioner of Patents
Washington, D.C. 20231

Group Art Unit: Not Assigned

Examiner: Not Assigned

EXPRESS MAIL CERTIFICATE"Express Mail" label number EH468390693US

Date of Deposit

February 12, 2002

I hereby certify that the following attached paper or fee

☒ Combined Declaration and Power of Attorney☐ 07 Page(s)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
Marjorie Ellis

(Typed or printed name of person mailing paper or fee)


Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g. complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

To the Honorable Commissioner of Patents and Trademarks: For record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Manuela ARMBRUST

2. Name and address of receiving party(ies):

Name: BASF Coatings Aktiengesellschaft

Internal Address: Patent Department

Street Address: Glasuritstrasse 1

48165 Muenster-Hiltrup, GERMANY

Additional numbers attached?

☒

Yes

☐

No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other☐

Execution Date: 21.01.02 and 10.01.02

Additional numbers attached?

☐

Yes

☒

No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 2/1 2/02

A. Patent Application No.(s)

B. Patent No.(s)

Attorney's Docket No. IN-5551

Application No.0 /

Additional numbers attached?

☐

Yes

☒

No

5. Name and address of Party to whom correspondence concerning document should be mailed:

Name: Michael F. Morgan, 42,906; Anne Gerry Sabourin, 33,772; Mary E. Golota, 36,814

Internal Address: BASF Corporation

Street Address: 26701 Telegraph Road

City: Southfield State: Michigan Zip: 48034-2442 Phone: (248) 948-2355

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41):.....\$40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: 23-3425

(Attach duplicate copy of this page if paying by deposit account)

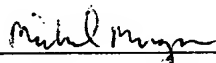
DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Michael F. Morgan, 42,906

Name of Person Signature



Signature

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EH468390693US addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on 02/12/2002.

Above Date

-11-

Total number of pages comprising cover sheet:

SECOND PAGE OF RECORDATION FORM COVER SHEET
Patent only**Additional Name(s) of Conveying Party(ies)**

2. Wolfgang BREMSER
3. Horst HINTZE-BRÜNING
4. Wilfried STÜBBE
5. Peter BETZ
6. Christel EHLIG

PATENT

(Practitioner's Docket No. IN-5551)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Manuela ARMBRUST, et al.

Serial No.: This application is a National
Phase of Patent Application
PCT/EP00/08031 filed 17 August 2000.

Group Art Unit: Not Assigned

Filed: Tuesday, February 12, 2002

Examiner: Not Assigned

For: SOL-GEL COATING

Patent*: Issue Date

Reexamination No.: Issue Date

Reissue: Issue Date

*NOTE: Insert name(s) of inventor(s) and title for patent.

Assistant Commissioner for Patents
Washington, D.C. 20.231

**STATEMENT UNDER 37 C.F.R. § 3.73(b)-
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☐ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10*

☐ with sufficient postage as first class mail.

☒ as "Express Mail Post Office to Addressee"
Mailing Label No: E US (mandatory)

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: February 12, 2002


Signature

Marjorie Ellis

(type or print name of person certifying)

(Statement under 37 C.F.R. § 3.73(b) - Establishing Right of Assignee to Take Action [16-16]-page1)

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

IDENTIFICATION OF ASSIGNEE

BASF COATINGS AG

2. _____
Name of assignee
CORPORATION

Type of assignee, e.g., corporation, partnership, university, government agency, etc.

PERSON(s) AUTHORIZED TO SIGN

3. Michael F. Morgan
(type name of person authorized to sign on behalf of assignee)
Attorney
Title of person authorized to sign

(complete the following, if applicable)

☒ I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

A.

1. ☐ An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at

Reel _____, Frame _____

2. ☒ An assignment (document) separately being submitted for recordal herewith.

AND/OR

B.

1. ☐ A chain of title from the inventor(s) to the current assignee as shown below:

1. From: _____

Name of inventor(s)

To: _____

Recorded in PTO:

Reel _____ Frame _____

(Statement under 37 C.F.R. § 3.73(b) - Establishing Right of Assignee to Take Action [16-16]--page 2)

(check item below, and add details, if applicable)

☐ Additional documents in the chain of title are listed in the attached Supplemental Sheet.

COPIES OF DOCUMENTS IN CHAIN OF TITLE

(complete this item, if copies are being sent)

☐ Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

☐ A

☐ 1

☐ 2

☐ B

☐ 1.

☐ 2

☐ 3.

Reg. No.: 42,906


SIGNATURE OF ATTORNEY

Michael F. Morgan
Type or print name of attorney

Tel. No.: (248) 948-2355

BASF Corporation
26701 Telegraph Road
P.O. Address

Southfield, Michigan 48034-2442

Customer No. 26922